PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

C.7	0.0017700011000,110	persons are re	equired to respond to a collection of infor	mation unless it displays a valid OMB control number.		
EN 10X			Application Number	10/007,335		
TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Filing Date	November 8, 2001		
			First Named Inventor	Yifei Yao		
			Art Unit	2875		
			Examiner Name	Sember, Thomas M.		
Total Number of Pages in This Submission 6			Attorney Docket Number	59374.00001		
		ENCLO	OSURES (check all that apply)			
Eee Transmittal Form (in dunlicate) Assign		ment Papers Application)	After Allowance Communication to Group			
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
☐ Amendment / Response		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition		Return Postcard		
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Letter		
		Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Termin	al Disclaimer	<u> </u>		
		Request for Refund		ECH		
☐ Information Disclosure Statement		CD, Number of CD(s)		NOL JE		
Certified Copy of Priority Document(s)		Remai	rks	JUN -4 2003 OLDGY CENTE		
Response to Missing Parts/ Incomplete Application				200 CENTI		
Response to Missing Parts under 37 CFR 1.52 or 1.53		·	·	JUN-4 2003 ECHNOLDGY CENTER 2800		
	SIGNA	TURE OF A	APPLICANT, ATTORNEY, O	R AGENT		
Firm Squ or Individual name 600	Aaron Wininger, Reg. No. 45,229 Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304-1043					
Signature	6					
Date May 16, 2003						
1		OF.	RTIFICATE OF MAILING			
		CER	CHEICATE OF WAILING	'		
hereby certify that this conservice with sufficient po	ostage as first c	s being facs lass mail in	imile transmitted to the USPTO	or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450,		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

May 16, 2003

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1.4

## **FEE TRANSMITTAL** for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known Application Number 10/007,335 November 8, 2001 Filing Date First Named Inventor Yifei Yao Sember, Thomas M. **Examiner Name** Art Unit 2875 59374.00001 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)						
. INETHOD OF PATINENT (Check all trial apply)			3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ M	Large	Entity	Small I	Entity					
☐ Deposit Account:	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			
Deposit 06 0150			130	2051	65	Surcharge - late filing fee or oath			
Account 05-0150 Number			50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit	1053	130	1053	130	Non-English specification				
Account Squire, Sanders & Dempsey L.L.P.			2,520	1812	2,520	For filing a request for reexamination			
Name The Director is authorized to: (che	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action				
□ Charge fee(s) indicated below     □	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action				
☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filing fee			110	2251	55	Extension for reply within first month			
to the above-identified deposit account.  FEE CALCULATION			410	2252	205	Extension for reply within second month			
	OCEATION	1253	930	2253	465	Extension for reply within third month			
BASIC FILING FEE     Large Entity		1254	1,450	2254	725	Extension for reply within fourth month			
	ee Description	1255	1.970	2255	985	Extension for reply within fifth month			
Code (\$) Code (\$)	Fee Paid	1401	320	2401	160	Notice of Appeal			
	ility filing fee	1402	320	2402	160	Filing a brief in support of an beal			
	esign filing fee	1403	280	2403	140	Request for oral hearing			
	ant filing fee ·	1451	1,510	1451	1,510	Petition to institute a public use	4 吊		
1005 160 2005 80 Pro	ovisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	革の		
			1,300	2453	650	Petition to revive – unintentional	1 11		
SUBTOTAL (1) (\$) 0			1,300	2501	650	Utility issue fee (or reissue)	+ 1		
2. EXTRA CLAIM FEES		1501 1502	470	2502	235	Design issue fee	2		
E	Extra Fee from Fee	1503	630	2503	315	Plant issue fee	8 -		
	Claims below Paid  X = 0	1460	130	1460	130	Petitions to the Commissioner 73			
	<u></u>	1807	50	1807	50	Processing fee under 37 CFR 1. (q)			
Independent Claims 1 -3 ** = 0	) X = 0	1806	180	1806	180	Submission of Information Disclosure			
Multiple Dependent	X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of			
Large Entity Small Entity		4000	750	2000	225	properties)			
Fee Fee Fee Code (\$)	Fee Description	1809		2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	` <b> </b>		
1202 18 2202 9	Claims in excess of 20	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
1201 84 2201 42	Independent claims in excess of 3	1	Δ				<b> </b>		
1203 280 2203 140	Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)			
1204 84 2204 42	original patent			1802	900	Request for expedited examination of a design application			
1205 18 2205 9	** Reissue claims in excess of 20 an over original patent	Other fee (specify)							
SUB	SUBTOTAL (2) (\$) 0								
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0									

SUBMITTED BY		Cor	Complete (if applicable)		
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500
Signature				Date	May 16, 2003

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